

P20428.P09

**GREENBLUM & BERNSTEIN L.C.**  
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Attorney Docket No. 20428

In re application of : Y. AIDA et al.

Serial No. : 09/748,131

Filed : December 27, 2000

For : A METHOD FOR TYPING POLYMORPHISMS OF BOVINE MHC CLASS II GENES

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**BOX NON-FEE**

Group Art Unit: 1645

Examiner : Unknown

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Transmitted herewith is a Preliminary Amendment in the above-captioned application.

**TECH CENTER 1600/2900**

- ☐ Small Entity Status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously filed.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ A Request for Extension of Time.
- ☒ No Additional Fee.
- ☒ Diskette containing Sequence Listing (w/ paper copy)
- ☒ Copy of PTO 1533 NFMP
- ☒ Supplemental Response to Notice Dated March 30, 2001 and Statement that the Content of the Paper and Computer Readable Copies are the same

The fee has been calculated as shown below:

Claims After Amendment	No. Claims Previously Paid For	Present Extra	Small Entity		Other Than A Small Entity	
			Rate	Fee	Rate	Fee
Total Claims: 15	*20	0	x 9=	\$	x 18=	\$0.00
Indep. Claims: 5	**5	0	x 40=	\$	x 80=	\$0.00
No. of Dependent Claims.			135=	\$	+270=	\$0.00
Extension Fees for Month				\$		\$0.00
Total:				\$	Total:	\$0.00

\*If less than 20, write 20

\*\*If less than 3, write 3

☐ Please charge my Deposit Account No. 19-0089 in the amount of \$\_\_\_\_\_.☒ A Check in the amount of \$\_\_\_\_\_ to cover the filing/extension fee is included.☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0089.☒ Any additional filing fees required under 37 C.F.R. 1.16.☒ Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136)(a)(3).

*Steven J. Helmer*  
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Bruce H. Bernstein  
 Reg. No. 29,027



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,131	12/27/2000	Yoko Aida	P20428

7055  
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GREENBLUM & BERNSTEIN, P.L.C.

CONFIRMATION NO. 3315

FORMALITIES LETTER



\*OC000000005921608\*

Date Mailed: 03/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$350.
  - \$80 for 1 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1190.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE